

Duquesne University
Executive Certificate in Financial Planning Program
September 2023 – May 2024

511 - General Financial Planning Principles, Professional Conduct, and Regulation (24 Hours)

September 8, 5:00 p.m. – 8:35 p.m.	Friday	5-9:00 pm	4
September 9, 8:45 a.m. – 5:00 p.m.	Saturday	9am to 5pm	8
September 22, 5:00 p.m. – 8:35 p.m.	Friday	5-9:00 pm	4
September 23, 8:45 a.m. – 5:00 p.m.	Saturday	9am to 5pm	8

****Assessments, quizzes, case study, and final exam due date** → **9/30/2023**

512 - Risk Management, Insurance, and Employee Benefits Planning (24 Hours)

October 6, 5:00 p.m. – 8:35 p.m.	Friday	5-9:00 pm	4
October 7, 8:45 a.m. – 5:00 p.m.	Saturday	9am to 5pm	8
October 20, 5:00 p.m. – 8:35 p.m.	Friday	5-9:00 pm	4
October 21, 8:45 a.m. – 5:00 p.m.	Saturday	9am to 5pm	8

****Assessments, quizzes, case study, and final exam due date** → **10/28/2023**

513 - Investment Planning (36 Hours)

November 3, 5:00 p.m. – 8:35 p.m.	Friday	5-9:00 pm	4
November 4, 8:45 a.m. – 5:00 p.m.	Saturday	9am to 5pm	8
November 17, 5:00 p.m. – 8:35 p.m.	Friday	5-9:00 pm	4
November 18, 8:45 a.m. – 5:00 p.m.	Saturday	9am to 5pm	8
December 1, 5:00 p.m. – 8:35 p.m.	Friday	5-9:00 pm	4
December 2, 8:45 a.m. – 5:00 p.m.	Saturday	9am to 5pm	8

****Assessments, quizzes, case study, and final exam due date** → **12/9/2023**

514 - Tax Planning (36 Hours)

December 15, 5:00 p.m. – 8:35 p.m.	Friday	5-9:00 pm	4
December 16, 8:45 a.m. – 5:00 p.m.	Saturday	9am to 5pm	8
January 5, 5:00 p.m. – 8:35 p.m.	Friday	5-9:00 pm	4
January 6, 8:45 a.m. – 5:00 p.m.	Saturday	9am to 5pm	8
January 19, 5:00 p.m. – 8:35 p.m.	Friday	5-9:00 pm	4
January 20, 8:45 a.m. – 5:00 p.m.	Saturday	9am to 5pm	8

****Assessments, quizzes, case study, and final exam due date** → **1/27/2024**

515 - Retirement, Savings and Employee Benefits (36 Hours)

February 2, 5:00 p.m. – 8:35 p.m.	Friday	5-9:00 pm	4
February 3, 8:45 a.m. – 5:00 p.m.	Saturday	9am to 5pm	8
February 16, 5:00 p.m. – 8:35 p.m.	Friday	5-9:00 pm	4
February 17, 8:45 a.m. – 5:00 p.m.	Saturday	9am to 5pm	8
March 1, 5:00 p.m. – 8:35 p.m.	Friday	5-9:00 pm	4
March 2, 8:45 a.m. – 5:00 p.m.	Saturday	9am to 5pm	8

****Assessments, quizzes, case study, and final exam due date** → **3/9/2024**

516 - Estate Planning (36 Hours)

March 15, 5:00 p.m. – 8:35 p.m.	Friday	5-9:00 pm	4
March 16, 8:45 a.m. – 5:00 p.m.	Saturday	9am to 5pm	8
March 29, 5:00 p.m. – 8:35 p.m.	Friday	5-9:00 pm	4
March 30, 8:45 a.m. – 5:00 p.m.	Saturday	9am to 5pm	8
April 12, 5:00 p.m. – 8:35 p.m.	Friday	5-9:00 pm	4
April 13, 8:45 a.m. – 5:00 p.m.	Saturday	9am to 5pm	8

****Assessments, quizzes, case study, and final exam due date** → **4/20/2024**

517 - Capstone Case Financial Plan Development (36 Hours)***

April 26, 5:00 p.m. – 8:35 p.m.	Friday	5-9:00 pm	4
April 27, 8:45 a.m. – 5:00 p.m.	Saturday	9am to 5pm	8
May 10, 5:00 p.m. – 8:35 p.m.*	Friday	5-9:00 pm	4
May 11, 8:45 a.m. – 5:00 p.m.*	Saturday	9am to 5pm	8
May 17, 5:00 p.m. – 8:35 p.m.*	Friday	5-9:00 pm	4
May 18, 8:45 a.m. – 5:00 p.m.*	Saturday	9am to 5pm	8

****Assessments, quizzes, case study, and final exam due date** → **TBD**

*** Denotes back-to-back weekends.**

**** Assessments are mandatory, all online, and consist of eight (8) quizzes, one (1) case study, one (1) practice exam, and one (1) final exam due one week at 11.59pm from the end of the material. (e.g. If class ends on September 30, all assessments are due October 7.) There are required assessments for each Kaplan section – 511, 512, 513, 514, 515, 516, and 517.**

***** Capstone Case Class is a total of 45 hours, which constitutes 36 hours of in class time plus 9 hours for case projects including outside meetings with instructor and student teams.**